Homework 5 Due: April 11, 2011

1. Inner bounds of DM-IC

For a discrete memoryless interference channel (DM-IC), the Han-Kobayashi (HK) achievable rate region is the convex closure of the rate tuple satisfying

$$R_{11} \leq I(W_1; Y_1 | U_1, U_2, Q)$$

$$R_{10} \leq I(U_1; Y_1 | W_1, U_2, Q)$$

$$R_{20} \leq I(U_2; Y_1 | U_1, W_1, Q)$$

$$R_{11} + R_{10} \leq I(U_1, W_1; Y_1 | U_2, Q)$$

$$R_{11} + R_{20} \leq I(U_2, W_1; Y_1 | U_1, Q)$$

$$R_{10} + R_{20} \leq I(U_1, U_2; Y_1 | W_1, Q)$$

$$R_{11} + R_{10} + R_{20} \leq I(U_1, W_1, U_2; Y_1 | Q)$$

and 7 similar inequalities for R_{22} , R_{20} , R_{10} for some $(p(q)p(u_1|q)p(w_1|q)p(u_2|q)p(w_2|q)p(x_1|u_1, w_1, q) p(x_2|u_2, w_2, q))$. (U_1, W_1, U_2, W_2) are auxiliary random variables serve to carry the messages $(M_{10}, M_{11}, M_{20}, M_{22})$, respectively.

On the other hand, the Chong-Motani-Garg (CMG) achievable rate region is the convex closure of the rate tuple satisfying

$$R_{11} \leq I(X_1; Y_1 | U_1, U_2, Q)$$

$$R_{11} + R_{10} \leq I(X_1; Y_1 | U_2, Q)$$

$$R_{11} + R_{20} \leq I(U_2, X_1; Y_1 | U_1, Q)$$

$$R_{11} + R_{10} + R_{20} \leq I(X_1, U_2; Y_1 | Q)$$

and 4 similar inequalities for R_{22} , R_{20} , R_{10} for some $(p(q)p(u_1, x_1|q) p(u_2, x_2|q))$.

Show the equivalence between these two representations of the achievable rate regions.

2. Gelfand-Pinsker theorem.

Gelfand-Pinsker theorem gives the capacity for the discrete memoryless channel (DMC) with discrete memoryless state available noncausally at the encoder as

$$C = \max_{p(u|s), x(u,s)} (I(U;Y) - I(U,S))$$

Prove the converse of this theorem and explain why we can use a deterministic mapping x(u, s).

3. MMSE estimation via writing on dirty paper

Consider the additive noise channel with output (observation)

$$Y = X + S + Z$$

where X is the transmitted signal and has mean μ and variance P, S is the state and has zero mean and variance Q, and Z is the noise and has zero mean and variance N. Assume that X, S, and Z are uncorrelated. The sender knows S and wishes to transmit a signal U, but instead he transmits X such that $U = X + \alpha S$ for some constant α

- (a) Find the mean squared error (MSE) of the linear MMSE estimate of U given Y in terms only of μ, α, Q, P and N.
- (b) Find the value of α that minimizes the MSE in part (a).
- (c) How does the minimum MSE you obtained in (b) compare to the MSE of the best linear MSE estimate when there is no state at all, i.e., S = 0? Interpret the result.
- 4. AWGN Relay Channel

Figure 1 shows the AWGN-RC where $g, g_1, g_2 > 0$ are the channel gains, Z, Z_1 are iid $\sim N(0, 1)$, and X and X_1 have the same power constraint P.



- (a) From the rate expressions for the discrete memoryless RC, derive the achievable rate of this Gaussian channel for decode-forward and compress-forward coding schemes. For decode-forward, show that joint Gaussian input is optimal.
- (b) Using Matlab, plot the rates derived in part (a) versus P for the following cases:
 - i. $g = g_1 = 1, g_2 = 3$ ii. $g = g_2 = 1, g_1 = 3$ iii. $g_1 = g_2 = 3, g = 1$ iv. $g_1 = g_2 = 1, g = 3$
- 5. Compress-Forward Lower Bound

For the relay channel, the compress-forward lower bound is given as

$$C \ge \max_{p(x)p(x_1)p(\hat{y}_1|y_1,x_1)} \min\left(I(X,X_1;Y) - I(Y_1;\hat{Y}_1|X,X_1,Y), I(X;Y,\hat{Y}_1|X_1)\right)$$

Starting from the error events given in El Gamal-Kim lecture notes page (17 - 43), derive this lower bound using joint typicality lemma. Show all steps in your derivation.